

441 133-3000 27 FEB 2006

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371ATTORNEY DOCKET NUMBER  
2005\_1938AU.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
10/559,818International Application No.  
PCT/JP2004/008766International Filing Date  
June 16, 2004Priority Date Claimed  
June 17, 2003

## Title of Invention

DIRECT BACKLIGHT TYPE LIQUID CRYSTAL DISPLAY DEVICE, AND LIGHT DIFFUSION SHEET

## Applicant(s) For DO/EO/US

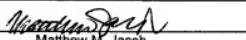
Isao SOGO, Masato ANDO, Mitsuhiro TAKEO, Koji MAEDA, and Masanao JINNO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - is transmitted herewith (required only if not transmitted by the International Bureau).
  - has been transmitted by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US)
- A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - are transmitted herewith (required only if not transmitted by the International Bureau).
  - have been transmitted by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired.
  - have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19.
- An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

## Items 11. to 14. below concern other document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT H
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A FIRST preliminary amendment.
  - A SECOND or SUBSEQUENT preliminary amendment.
- Other items or information:

U.S. APPLICATION NO. <small>(See 37 CFR 1.8)</small> 10/559,818	INTERNATIONAL APPLICATION NO. PCT/JP2004/008766	ATTORNEY'S DOCKET NO. 2005_1938A
15. <input type="checkbox"/> The following fees are submitted		CALCULATIONS PTO USE ONLY
Basic National Stage Fee .....		\$300.00
National Stage Search Fee .....		\$500.00
National Stage Examination Fee .....		\$200.00
Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = .....		\$
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$0
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$
Claims	Number Filed	Number Extra
Total Claims	-20 =	X \$50.00
Independent Claims	- 3 =	X \$200.00
Multiple dependent claim(s) (if applicable)		+ \$360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$0
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.		\$
<b>SUBTOTAL =</b>		\$0
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$
<b>TOTAL NATIONAL FEE =</b>		\$0
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$
<b>TOTAL FEES ENCLOSED =</b>		\$0
		Amount to be refunded \$
		Amount to be charged \$
<p>a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. A duplicate copy of this form is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u>.</p>		
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
19. CORRESPONDENCE ADDRESS   CUSTOMER NO. <u>000513</u>		By:  Matthew M. Jacob, Registration No. 25,154  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250  <i>February 27, 2006</i>

[CHECK NO. \_\_\_\_\_]  
[2005\_1938A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Isao SOGO et al. : **Mail Stop: PCT**  
Serial No. 10/559,818 : Attorney Docket No. 2005\_1938A  
Filed December 8, 2005 :  
  
DIRECT BACKLIGHT TYPE LIQUID  
CRYSTAL DISPLAY DEVICE, AND  
LIGHT DIFFUSION SHEET  
[Corresponding to PCT/JP2004/008766  
Filed June 16, 2004]

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

each U.S. Patent and U.S. Patent application publication;

each reference previously cited in the international application PCT/\_\_\_\_\_; and/or

each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1)  the certification of paragraph 2 below is provided, **or**

(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
  
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (in the English language) previously submitted as Attachment C,
  - c.  the concise explanation contained in the specification of the present application at page 4,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
  
5.  A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Isao SOGO et al.

By *Matthew M. Jacob*

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February 27, 2006

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 27, 2006

ATTY DOCKET NO.  
2005\_1938ASERIAL NO.  
10/559,818APPLICANT  
Isao SOGO et al.FILING DATE  
December 8, 2005

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/J.A.S./	AA	5,362,783	11/1994	Eiffler et al.			
	AB						
	AE						
	AC						
	AE						
	AE						
	AE						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/J.A.S./	AH	7-138464	06/1995	JP			abstract/ corresponds to AA
/J.A.S./	AI	7-149995	06/1995	JP			abstract
/J.A.S./	AJ	2001-214049	08/2001	JP			abstract
/J.A.S./	AK	2001-323149	11/2001	JP			abstract
/J.A.S./	AE	9-96705	04/1997	JP			abstract
/J.A.S./	AM	11-326610	11/1999	JP			abstract
/J.A.S./	AN	2001-133604	05/2001	JP			abstract

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AO	
	AQ	

EXAMINER /Jason A. Sese/

DATE CONSIDERED 12/18/2007